

**U.S. District Court
Eastern District of New York (Brooklyn)
CRIMINAL DOCKET FOR CASE #: 1:20-cr-00584-RPK-RML All Defendants**

Case title: USA v. Deutsche Bank Aktiengesellschaft

Date Filed: 12/22/2020

Date Terminated: 07/05/2024

Assigned to: Judge Rachel P. Kovner
Referred to: Magistrate Judge Robert M.
Levy

Defendant (1)

Deutsche Bank Aktiengesellschaft
TERMINATED: 07/05/2024

represented by **Richard W. Grime**
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Pending Counts

None

Disposition

Highest Offense Level (Opening)

None

Terminated Counts

Conspiracy to Violate the FCPA Accounting
Provisions (Title 18, United States Code,

Disposition

Sections 371 and 3551 et seq.)

(1)

Wire Fraud Conspiracy Affecting a
Financial Institution (Title 18, United States
Code, Sections 1349 and 3551 et seq.)

(2)

Highest Offense Level (Terminated)

Felony

Complaints

None

Disposition

Plaintiff

USA

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Date Filed	#	Docket Text
12/22/2020	1	NOTICE of Intent to proceed under FRCrP 7(b) as to Deutsche Bank Aktiengesellschaft (Attachments: # 1 Criminal Information Sheet, # 2 Sealing Cover Sheet) (Marziliano, August) (Entered: 12/22/2020)
01/07/2021	5	WAIVER OF INDICTMENT by Deutsche Bank Aktiengesellschaft (Attachments: # 1 DPA Final Signed) (Almonte, Giselle) (Entered: 01/12/2021)
01/07/2021	6	INFORMATION as to Deutsche Bank Aktiengesellschaft (1) count(s) 1, 2. (Almonte, Giselle) (Entered: 01/12/2021)
01/08/2021		Order, as to Deutsche Bank Aktiengesellschaft that the above captioned matter is unsealed in its entirety. Ordered by Judge Rachel P. Kovner on 1/8/2021. (Piper, Francine) (Entered: 01/08/2021)

01/08/2021	2	MOTION to Appear Pro Hac Vice of <i>Richard W. Grime</i> Filing fee \$ 150, receipt number ANYEDC-13962689. by Deutsche Bank Aktiengesellschaft. (Attachments: # 1 Declaration of Richard W. Grime with Exhibit, # 2 Proposed Order) (Grime, Richard) (Entered: 01/08/2021)
01/08/2021	3	MOTION to Appear Pro Hac Vice of <i>Lora Elizabeth MacDonald</i> Filing fee \$ 150, receipt number ANYEDC-13962732. by Deutsche Bank Aktiengesellschaft. (Attachments: # 1 Declaration of Lora Elizabeth MacDonald with exhibits, # 2 Proposed Order) (MacDonald, Lora) (Entered: 01/08/2021)
01/11/2021	4	NOTICE OF ATTORNEY APPEARANCE Katherine Nielsen appearing for USA. (Nielsen, Katherine) (Entered: 01/11/2021)
01/12/2021		Minute entry for proceedings held by videoconference before Judge Rachel P. Kovner on 01/08/2021: Alixandra Smith, Katherine Nielsen, Nikhila Raj, Elizabeth Boison, and Avi Perry appeared on behalf of the government. Richard Grime and Lora E. MacDonald appeared on behalf of the defendant. Joe Salama and Andrew Stemmer appeared as the representatives of defendant Deutsche Bank Aktiengesellschaft. The Court found the CARES Act requirements for a video proceeding were satisfied. Mr. Salama was sworn and informed of defendants rights. Mr. Salama waived defendant's right to indictment by a grand jury. Defendant was arraigned on a two-count information and entered a plea of not guilty. Mr. Salama entered into a three-year deferred prosecution agreement on behalf of the defendant, and consented to the exclusion of time under the Speedy Trial Act for the duration of the deferred prosecution agreement plus six months. The matter is held in abeyance until 12:00 PM on 07/08/2024. The Court excludes time under the Speedy Trial Act from 01/08/2021 to 07/08/2024. (Court Reporter Lisa Schmid) (Lewis, Benjamin) (Entered: 01/12/2021)
01/12/2021		ORDER TO CONTINUE - Ends of Justice as to Deutsche Bank Aktiengesellschaft. Time excluded from 1/8/2021 until 7/8/2024. Ordered by Judge Rachel P. Kovner on 1/8/2021. (Chan, Tsz) (Entered: 01/12/2021)
01/25/2021		ORDER REFERRING MOTION as to Deutsche Bank Aktiengesellschaft 2 MOTION to Appear Pro Hac Vice of <i>Richard W. Grime</i> Filing fee \$ 150, receipt number ANYEDC-13962689. filed by Deutsche Bank Aktiengesellschaft, 3 MOTION to Appear Pro Hac Vice of <i>Lora Elizabeth MacDonald</i> Filing fee \$ 150, receipt number ANYEDC-13962732. filed by Deutsche Bank Aktiengesellschaft. Ordered by Judge Rachel P. Kovner on 1/25/2021. Motions referred to Magistrate Judge Robert M. Levy. (Chan, Tsz) (Entered: 01/25/2021)
01/26/2021		ORDER granting 2 Motion for Leave to Appear The attorney shall register for ECF, registration is available online at www.pacer.gov. Once registered, the attorney shall file a notice of appearance and ensure that s/he receives electronic notification of activity in this case. Also, the attorney shall ensure the 150 admission fee be submitted to the Clerks Office via filing the event <i>Pro Hac Vice Filing Fee..</i> as to Deutsche Bank Aktiengesellschaft (1)Ordered by Magistrate Judge Robert M. Levy on 1/26/2021. (Marino, Janine) (Entered: 01/26/2021)
01/26/2021		ORDER granting 3 Motion for Leave to Appear The attorney shall register for ECF, registration is available online at www.pacer.gov. Once registered, the attorney shall file a notice of appearance and ensure that s/he receives electronic notification of activity in this case. Also, the attorney shall ensure the 150 admission fee be submitted to the Clerks Office via filing the event <i>Pro Hac Vice Filing Fee..</i> as to Deutsche Bank Aktiengesellschaft (1)Ordered by Magistrate Judge Robert M. Levy on 1/26/2021. (Marino, Janine) (Entered: 01/26/2021)
01/27/2021	7	NOTICE OF ATTORNEY APPEARANCE: Richard W. Grime appearing for Deutsche Bank Aktiengesellschaft (Grime, Richard) (Entered: 01/27/2021)

01/27/2021	8	NOTICE OF ATTORNEY APPEARANCE: Lora Elizabeth MacDonald appearing for Deutsche Bank Aktiengesellschaft (MacDonald, Lora) (Entered: 01/27/2021)
07/01/2024		ORDER: The parties are directed to submit a joint status report by 7/3/2024. Ordered by Judge Rachel P. Kovner on 7/1/2024. (TC) (Entered: 07/01/2024)
07/03/2024	9	MOTION to Dismiss <i>Information with Prejudice</i> by USA as to Deutsche Bank Aktiengesellschaft. (Attachments: # 1 Proposed Order, # 2 Exhibit 1 -- Deutsche Bank DPA) (Smith, Alixandra) (Entered: 07/03/2024)
07/03/2024	10	Letter <i>re Status of Case</i> as to Deutsche Bank Aktiengesellschaft (Smith, Alixandra) (Entered: 07/03/2024)
07/05/2024		ORDER: Reference is made to the government's 9 motion to dismiss the criminal Information filed in this case against the defendant Deutsche Bank Aktiengesellschaft pursuant to Rule 48(a) of the Federal Rules of Criminal Procedure. The defendant does not oppose the motion. Upon due consideration and review of the unopposed motion, it is hereby ORDERED that the government's motion is granted and the criminal Information filed in this action is dismissed with prejudice. Ordered by Judge Rachel P. Kovner on 7/5/2024. (BRS) (Entered: 07/06/2024)

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